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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/673,049	09/26/2003	Kameshwar Poolla	AWS-033

25199  
 LARRY WILLIAMS  
 3645 MONTGOMERY DR  
 SANTA ROSA, CA 95405-5212



**CONFIRMATION NO. 8058**  
**FORMALITIES LETTER**



\*OC000000012432455\*

Date Mailed: 04/23/2004

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

07/26/2004 SDIRETRM1 00000008 10673049

**FILED UNDER 37 CFR 1.53(b)**

01 FC:6001	365.00	DP
02 FC:6001	65.00	DP
03 FC:2202	3.00	DP

***Filing Date Granted***

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$18 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$918 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$18
  - \$18 for 1 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

*Hwam*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Kameshwar Poolla and Costas J. Spanos

Serial Number: 10/673049

Confirmation Number: 8058

Filed: 09/26/2003

For: METHODS OF AND APPARATUSES FOR CONTROLLING PROCESS  
PROFILES

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
APPLICATION**

July 23, 2004

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Application dated 04/23/2004, submitted herewith are the following documents for filing in the above referenced application:

1. Credit Card Payment Form PTO-2038 in the amount of \$459.00 for payment of the statutory basic filing fee of \$385.00 for a small entity, extra claim fees of \$9.00 and the surcharge of \$65.00 for a small entity.
2. Patent Application Declaration and Power of Attorney signed by inventors (2 pages).
3. Copy of Notice to File Missing Parts of Nonprovisional Application.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above named patent application and justify the filing date of 09/26/2003. Please telephone the undersigned at (408) 396-1112 if there are any questions regarding this matter.

Respectfully submitted,



Larry Williams  
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Santa Rosa, CA 95405  
**CUSTOMER # 25199**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Comissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 on 7/23/2004.  
Printed name LARRY WILLIAMS  
Signature 